**Please return a signed original hard copy to**

**Office of Technology Commercialization, EINNV 1.166**

**University of Texas Rio Grande Valley (UTRGV)**

**Office of Technology Commercialization (OTC)**

**Invention Disclosure Form for Software**

**Please read this section before completing this form, and contact us with any questions.**

1. **The information provided using this form should be as complete as possible.** Please complete each section of the document. If certain questions or statements do not apply, please state “N/A” or “None.” Each section of the form expands and you should provide as much detail as possible including supporting material, draft manuscripts, research reports, presentations, etc. Also include at least one (1) copy of the software itself, on a portable drive. **\*Please note\***: our office cannot review incomplete/unsigned IDFs, or materials submitted without this form (e.g., just a copy of a publication or of the software). We will return such IDFs to you for completion and resubmission.
2. **Public disclosures** (such as publication of a paper, oral or poster presentation at a conference, invited talk, student thesis defense, discussions with non-UTRGV affiliated parties without a non-disclosure agreement in place, etc.) have a negative impact on one’s ability to secure intellectual property (IP) rights. In some cases, IP rights might irretrievably lost due to a prior public disclosure. \***Important\***: ideally, the IDF should be submitted to the OTC before any public disclosures of the technology are made. An example of good timing would be submitting your IDF simultaneously with submitting a manuscript for review, rather than submitting the IDF after the paper is published online. If you are planning to publish or present your technology, please make sure to inform our office well in advance of any upcoming deadlines. It is very difficult to accommodate last-minute requests.
3. **Submission of a completed, signed IDF** along with a copy of the software is a first step in disclosing your invention to UTRGV. Our office will stay in touch and guide you through the entire process. You can also obtain some additional information at our website. **\*Please note\***: Submission of an IDF does not mean your technology is protected and has a patent pending status! It is protected/has patent pending status only if and when an actual patent application is filed.
4. **One original hard copy of the IDF signed in ALL necessary places by ALL contributors, along** **with a copy of the software**, must be delivered to OTC, at LAMR.E 2.103, Edinburg campus, either in person or by campus mail. (We are required to have an original signed hard copy in our files.) In addition, an electronic version of your IDF and all supplementary materials should be emailed to OTC@utrgv.edu.
5. You can reach us by phone or email, or schedule an appointment in person:

Office of Technology Commercialization

EINNV 1.166

Email: [OTC@utrgv.edu](mailto:ort@utrgv.edu)

Checklist for submission:

* All sections of the form filled out. Include supporting documents and expand section to be as complete and detailed as possible.
* Form signed (in all places needed) by ALL contributors to the project.
* Inventors’ page completed for each inventor.
* Assignment of Rights page signed by UTRGV inventors only.
* Copy of software supplied to OTC.
* Electronic copy of IDF and all supporting information emailed to OTC.

UTRGV IDF #\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(To be completed by OTC)

**Title of Software (please limit to approximately 100 characters, including spaces):**

**Section 1 Description of Software**

**Intellectual Property Description**

1.2 Please provide a brief, general description of the software, including its purpose and intended users.

1.3 What problems does the software solve?

1.4 Please identify other available software that performs functions similar to what you are disclosing, and describe how your software is different from this other available software.

1.5 Are there any other approaches to solve the same problems described above, and are they easy or difficult? How is the solution offered by your software better than these other approaches?

1.6 Does your software possess disadvantages or have limitations? Can they be overcome? How do you plan to do this, and how long do you think it might take?

1.7 If not indicated previously, are there other uses for your software that might be realized in the future?

**Technical Details**

1.8 What hardware is required to operate the software?

1.9 What operating system is required to operate the software?

1.10 What utilities are required to operate the software?

1.11 What programming language(s) is used to create the software?

1.12 Is this software likely to require customization or support once it is licensed?

**Section 2 Reporting**

**Materials from Another Source**

2.1 Does the software include any open source or free software other than software created by the contributors identified on this disclosure form? (Check one) \_\_\_Yes \_\_\_No

|  |  |
| --- | --- |
| If you answered “Yes” to 2.1, please identify the software: |  |
| Under what license was this software used? (Please provide a copy of the license with this disclosure.) |  |

2.2 Is the software a component of a greater body of code? \_\_\_ Yes \_\_\_No

|  |  |
| --- | --- |
| If you answered “Yes” to 2.2, please describe its purpose or function in that greater body of code: |  |

2.3 Is any software owned or authored by persons or entities other than those listed on this disclosure incorporated into the software? \_\_\_ Yes \_\_\_ No

|  |  |
| --- | --- |
| If you answered “Yes” to 2.3, please list all third party sources: |  |

2.4 Is the software a derivative work? \_\_\_ Yes \_\_\_No

|  |  |
| --- | --- |
| If you answered “Yes” to 2.4, please name the work from which it is derived: |  |
| Please describe the license or the source of authorization, and attach a copy if applicable: |  |
| If the author has not authorized the derivative work, please explain further: |  |

**Export Control**

2.5 Please complete the following. Visit the Research Compliance & Export Controls page for more information, and call us if you require further assistance in making this determination.

|  |  |
| --- | --- |
| Is the software likely to have any export control sensitivity?\* | \_\_\_ Yes \_\_ No |
| \*Please check “yes” if you believe the invention may be subject to controlled disclosure under the United States Munitions List (defense and weaponry, explosives, spade, national security, and/or biological materials to either protect against or cause biological/chemical warfare) or the Commerce Control List (Categories: 0=Nuclear materials, facilities and equipment; 1=Materials, chemicals, microorganisms and toxins; 2=Material processing; 3=Electronics; 4=Computers; 5=Telecommunications and information security; 6=Sensors and lasers; 7=Navigation and avionics; 8=Marine; 9=Propulsion systems, space vehicles and related equipment). | |

**Section 3 Status**

**3.1** Which of the choices below best describes the developmental stage of your technology? Please choose **one**, then provide full information in the comments section below.

\_\_\_\_\_Basic conceptual development: working on the concept of what the software does and the theoretical principles, have identified basic inputs

\_\_\_\_\_Software developed, coding finished and tested

\_\_\_\_\_ Software beta tested, proven to operate as expected in expected environment by expected users

\_\_\_\_\_Suggestions from beta testing implemented (if appropriate)

\_\_\_\_\_Commercialization ready: technology is completed and fully tested; the software is ready for manufacturing and/or commercial launch

**Comments:**

**3.2 Commercialization Information**

|  |  |
| --- | --- |
| Please list potential licensees that would be interested in commercializing the software: |  |
| Have you been approached by, or worked with, any companies regarding this software? | \_\_\_\_ Yes \_\_\_\_ No |
| If “Yes” above, please list and describe the contact(s), with names and contact information. If you have a current or past relationship with the company, please describe it: |  |

**3.3 Prior Art**

Two good sites to search for publications or patents similar to this invention are Google Patents and Espacenet.

|  |  |
| --- | --- |
| Prior art you have found via search: |  |
| Have you performed a patent search? | \_\_\_ Yes \_\_\_No |
| If you checked Yes above, please explain how your invention is different from prior art. |  |

**Section 4 - Disclosures and Background Information**

**Disclosures**

4.1 Have you disclosed your technology publicly in any of the following ways, or do you have any plans to do so? If so, please describe provide information on the disclosure, with date(s) and details. Any public disclosures can affect the potential patentability of your technology.

|  |  |
| --- | --- |
| **Public Disclosure** | **Details and date or projected date** |
| * Manuscript in preparation |  |
| * Submitted for publication |  |
| * Accepted for publication |  |
| * Published |  |
| * Grant proposal |  |
| * Submission of abstract for conference |  |
| * Student thesis/dissertation defense |  |
| * Presentation (oral, PowerPoint, etc.) |  |
| * Conversation(s) with third party (not UTRGV) |  |
| Other public disclosure(s) (explain) |  |

**Background Information**

4.2 Was the disclosed software developed by UTRGV employees, or employees of UTB/UTPA prior to UTRGV? \_\_\_ Yes \_\_\_ No

If “No”, or if anyone outside UTRGV employees assisted in the development of the software, please explain.

4.3 Please be as accurate as possible in completing the following. If you cannot remember an exact date, please provide a month and year. For the “Record” column, indicate either the nature of the written record (lab notebook, email, etc.) or if oral discussion indicate to whom the disclosure was made.

|  |  |  |
| --- | --- | --- |
| **Event** | **Date** | **Record** |
| Initial conception of data |  |  |
| First description (written or oral) of invention |  |  |
| First working version of software demonstrated (reduction to practice) |  |  |

4.4 Give reference numbers and physical location of records and/or data, but do not enclose documentation.

4.5 Please list all related patents, or any relevant articles, journals, or abstracts from other authors.

4.6 What key words/word combinations have you used, or would you suggest using, in order to search for relevant existing technologies?

4.7 Are there colleagues on campus who are already knowledgeable about the work and its potential impact? Please list.

**Section 5 - Financial Resources Used/Obligations**

**5.1 Funding Information**

|  |  |  |
| --- | --- | --- |
| If you received full or partial support during any stage of your research resulting in the invention, or if you have acknowledged or plan to acknowledge a funding source in a publication or grant progress report in which you describe the invention, please indicate all sources of your funding by checking the appropriate category or categories below. If you were not funded, please check None.  \_\_\_ Federal \_\_\_\_ Foundation \_\_\_\_ Industry \_\_\_\_ State \_\_\_\_ Other \_\_\_\_ None | | |
| If you checked any category other than None above, please identify below each funding source’s name and each corresponding grant, contract, or award number/ID in the corresponding column. (Please do not list UTRGV account numbers as Grant/Contract/Award numbers.) | | |
| Source name (list primary funding source first | Grant/Contract/Award Number/ID | Principal investigator |
|  |  |  |
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5.2 Do you intend to continue development of this software?

(check one) \_\_\_\_Yes \_\_\_\_No

a) If yes, are resources available through the resources listed above or through

other resources? (check one) \_\_\_\_Yes \_\_\_\_No

b Is this invention the subject of a pending grant or contract proposal?

(check one) \_\_\_\_Yes \_\_\_\_No

If yes, please specify potential funding source and date funding may be received.

Funding Source:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Agency/Account Number:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Section 6 Percent Contribution Allocation**

|  |  |  |  |
| --- | --- | --- | --- |
| Please list the name of the corresponding inventor here (i.e., the inventor who is to have primary contact with OTC): | |  | |
| List ALL inventors (including non-UTRGV inventors) and the percentage of their contribution below. Use percentage of contribution at the time of this disclosure. Contribution should reflect each inventor’s contribution to the concepts of the invention and be agreed to by all inventors. (If inventors cannot agree on percentages, UTRGV will assume equal distribution.) Inventorship has a specific meaning under patent law, and will be finally determined by UTRGV’s legal counsel in accordance with applicable patent laws if UTRGV proceeds with patent filing. UTRGV understands that contributions may fluctuate as the technology is developed. (Add additional rows below if necessary.) | | | |
| **Inventor (name)** | **% contribution** | | **Inventor’s institution (if not UTRGV)** |
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**Section 7 Assignment of Rights**

**Please enter software title here:**

***To be signed by UTRGV inventors only.***

I (we) hereby certify that all of the information set forth in this disclosure is true and complete to the best of my (our) knowledge. I (we) have also specifically reviewed and agree with the percent allocations as set forth in Part III above.

In consideration of employment by the University of Texas Rio Grande Valley (UTRGV) and receipt of a share of licensing revenues from the commercialization of inventions by UTRGV, I (we) agree to assign and hereby do assign, sell, and transfer to the Board of Regents of The University of Texas System, or in the event of a prior assignment agreement, I (we) hereby confirm the prior assignment of all of my (our) right, title, and interest to this intellectual property to the Board of Regents of The University of Texas System and agree to execute any additional documents as requested to effect or support assignment to the Board of Regents of The University of Texas System of all my (our) rights to any patent application or copyright filed on this intellectual property pursuant to the policies of the Board of Regents of the University of Texas System and the UTRGV policies on intellectual property.

I (we) agree to cooperate with UTRGV and the Office of Technology Commercialization in the protection and commercialization of this intellectual property. UTRGV will share any royalty income derived from this intellectual property with the inventor(s) according to the policies of the Board of Regents of the University of Texas System, UTRGV, and the Office of Technology Commercialization.

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| Inventor signature and date | Inventor name printed |
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Signature of UTRGV representative:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Fernando Gonzalez

Director of Technology Commercialization

**Section 8 - Inventor(s) Information**

Feel free to make physical copies of a blank page if needed if more than six inventors contributed to the conception or reduction to practice of the invention.

|  |  |
| --- | --- |
| **Inventor #1** (a separate page must be filled out by each UTRGV inventor) | |
| Invention Title: | |
| Inventor Name: | |
| Position: | Employee number: |
| Citizenship/Visa status: | UTRGV online username |
| Primary phone number: | Home address\*: |
| UTRGV email: | Alternate email\*: |
| Describe the nature of your contribution |  |
| If you are a faculty member, please list the department and school to which you are appointed: |  |
| If you are NOT a faculty member, please list the department, center, or institute in which you are employed: |  |
| If the research leading to the invention was supported by any university-recognized centers or institutes, please list those: |  |
| Check the appropriate choice to describe your affiliation with Veterans Affairs: | \_\_\_\_\_ No VA appointment  \_\_\_\_\_ Dually appointed  \_\_\_\_\_ Without compensation |
| If you checked “Without compensation” above, please choose “yes” or “no” for the following if applicable: | Did you perform any research activities at the VA for this invention? \_\_\_ Yes \_\_\_ No  If you answered No above, were you appointed to exclusively perform clinical services, attending services, or educational activities? \_\_\_ Yes \_\_\_ No |
| *By my signature below, I indicate that I agree with the percent contribution allocation as set forth in the Percent Contribution Allocation Section of the Invention Disclosure Form.* | |
| Signature: |  |
| Date: |  |

\*Please contact us to update this information if it should change. We need a valid, updated permanent residence address and means of electronic contact for purposes of revenue distributions or any other developments.

|  |  |
| --- | --- |
| **Inventor #2** (a separate page must be filled out by each UTRGV inventor) | |
| Invention Title: | |
| Inventor Name: | |
| Position: | Employee number: |
| Citizenship/Visa status: | UTRGV online username |
| Primary phone number: | Home address\*: |
| UTRGV email: | Alternate email\*: |
| Describe the nature of your contribution |  |
| If you are a faculty member, please list the department and school to which you are appointed: |  |
| If you are NOT a faculty member, please list the department, center, or institute in which you are employed: |  |
| If the research leading to the invention was supported by any university-recognized centers or institutes, please list those: |  |
| Check the appropriate choice to describe your affiliation with Veterans Affairs: | \_\_\_\_\_ No VA appointment  \_\_\_\_\_ Dually appointed  \_\_\_\_\_ Without compensation |
| If you checked “Without compensation” above, please check the following if applicable: | Did you perform any research activities at the VA for this invention? \_\_\_ Yes \_\_\_ No  If you answered No above, were you appointed to exclusively perform clinical services, attending services, or educational activities? \_\_\_ Yes \_\_\_ No |
| *By my signature below, I indicate that I agree with the percent contribution allocation as set forth in the Percent Contribution Allocation Section of the Invention Disclosure Form.* | |
| Signature: |  |
| Date: |  |

\*Please contact us to update this information if it should change. We need a valid, updated permanent residence address and means of electronic contact for purposes of revenue distributions or any other developments.

|  |  |
| --- | --- |
| **Inventor #3** (a separate page must be filled out by each UTRGV inventor) | |
| Invention Title: | |
| Inventor Name: | |
| Position: | Employee number: |
| Citizenship/Visa status: | UTRGV online username |
| Primary phone number: | Home address\*: |
| UTRGV email: | Alternate email\*: |
| Describe the nature of your contribution |  |
| If you are a faculty member, please list the department and school to which you are appointed: |  |
| If you are NOT a faculty member, please list the department, center, or institute in which you are employed: |  |
| If the research leading to the invention was supported by any university-recognized centers or institutes, please list those: |  |
| Check the appropriate choice to describe your affiliation with Veterans Affairs: | \_\_\_\_\_ No VA appointment  \_\_\_\_\_ Dually appointed  \_\_\_\_\_ Without compensation |
| If you checked “Without compensation” above, please check the following if applicable: | Did you perform any research activities at the VA for this invention? \_\_\_ Yes \_\_\_ No  If you answered No above, were you appointed to exclusively perform clinical services, attending services, or educational activities? \_\_\_ Yes \_\_\_ No |
| *By my signature below, I indicate that I agree with the percent contribution allocation as set forth in the Percent Contribution Allocation Section of the Invention Disclosure Form.* | |
| Signature: |  |
| Date: |  |

\*Please contact us to update this information if it should change. We need a valid, updated permanent residence address and means of electronic contact for purposes of revenue distributions or any other developments.

|  |  |
| --- | --- |
| **Inventor #4** (a separate page must be filled out by each UTRGV inventor) | |
| Invention Title: | |
| Inventor Name: | |
| Position: | Employee number: |
| Citizenship/Visa status: | UTRGV online username |
| Primary phone number: | Home address\*: |
| UTRGV email: | Alternate email\*: |
| Describe the nature of your contribution |  |
| If you are a faculty member, please list the department and school to which you are appointed: |  |
| If you are NOT a faculty member, please list the department, center, or institute in which you are employed: |  |
| If the research leading to the invention was supported by any university-recognized centers or institutes, please list those: |  |
| Check the appropriate choice to describe your affiliation with Veterans Affairs: | \_\_\_\_\_ No VA appointment  \_\_\_\_\_ Dually appointed  \_\_\_\_\_ Without compensation |
| If you checked “Without compensation” above, please check the following if applicable: | Did you perform any research activities at the VA for this invention? \_\_\_ Yes \_\_\_ No  If you answered No above, were you appointed to exclusively perform clinical services, attending services, or educational activities? \_\_\_ Yes \_\_\_ No |
| *By my signature below, I indicate that I agree with the percent contribution allocation as set forth in the Percent Contribution Allocation Section of the Invention Disclosure Form.* | |
| Signature: |  |
| Date: |  |

\*Please contact us to update this information if it should change. We need a valid, updated permanent residence address and means of electronic contact for purposes of revenue distributions or any other developments.

|  |  |
| --- | --- |
| **Inventor #5** (a separate page must be filled out by each UTRGV inventor) | |
| Invention Title: | |
| Inventor Name: | |
| Position: | Employee number: |
| Citizenship/Visa status: | UTRGV online username |
| Primary phone number: | Home address\*: |
| UTRGV email: | Alternate email\*: |
| Describe the nature of your contribution |  |
| If you are a faculty member, please list the department and school to which you are appointed: |  |
| If you are NOT a faculty member, please list the department, center, or institute in which you are employed: |  |
| If the research leading to the invention was supported by any university-recognized centers or institutes, please list those: |  |
| Check the appropriate choice to describe your affiliation with Veterans Affairs: | \_\_\_\_\_ No VA appointment  \_\_\_\_\_ Dually appointed  \_\_\_\_\_ Without compensation |
| If you checked “Without compensation” above, please check the following if applicable: | Did you perform any research activities at the VA for this invention? \_\_\_ Yes \_\_\_ No  If you answered No above, were you appointed to exclusively perform clinical services, attending services, or educational activities? \_\_\_ Yes \_\_\_ No |
| *By my signature below, I indicate that I agree with the percent contribution allocation as set forth in the Percent Contribution Allocation Section of the Invention Disclosure Form.* | |
| Signature: |  |
| Date: |  |

\*Please contact us to update this information if it should change. We need a valid, updated permanent residence address and means of electronic contact for purposes of revenue distributions or any other developments.

|  |  |
| --- | --- |
| **Inventor #6** (a separate page must be filled out by each UTRGV inventor) | |
| Invention Title: | |
| Inventor Name: | |
| Position: | Employee number: |
| Citizenship/Visa status: | UTRGV online username |
| Primary phone number: | Home address\*: |
| UTRGV email: | Alternate email\*: |
| Describe the nature of your contribution |  |
| If you are a faculty member, please list the department and school to which you are appointed: |  |
| If you are NOT a faculty member, please list the department, center, or institute in which you are employed: |  |
| If the research leading to the invention was supported by any university-recognized centers or institutes, please list those: |  |
| Check the appropriate choice to describe your affiliation with Veterans Affairs: | \_\_\_\_\_ No VA appointment  \_\_\_\_\_ Dually appointed  \_\_\_\_\_ Without compensation |
| If you checked “Without compensation” above, please check the following if applicable: | Did you perform any research activities at the VA for this invention? \_\_\_ Yes \_\_\_ No  If you answered No above, were you appointed to exclusively perform clinical services, attending services, or educational activities? \_\_\_ Yes \_\_\_ No |
| *By my signature below, I indicate that I agree with the percent contribution allocation as set forth in the Percent Contribution Allocation Section of the Invention Disclosure Form.* | |
| Signature: |  |
| Date: |  |

\*Please contact us to update this information if it should change. We need a valid, updated permanent residence address and means of electronic contact for purposes of revenue distributions or any other developments.

Non-Proprietary Description

Title:

Non-confidential Description:

Advantages/Special Characteristics

Applications:

Suggested Key Words: