

Specialist initials: _____ Date: _____ OSP No: _____ PI Name: _____

PROPOSAL EXPORT CONTROL REVIEW

A. Visual Compliance Check

Screen all PIs, Co-PIs, consultants and all key individuals who are at UT and are pertinent to the proposal at *Fuzzy Level 2*. (A screening of subcontractors will be done at the award stage.) Choose the appropriate category in the drop-down menu under Comments and add the OSP number in the blank box to the right of Comments. If there is a “hit,” reviewer will then screen at *Exact* level. If there is a “hit” on the *Exact* level, print and take to the Export Control Manager for further determination.

Yes No

Date Completed: _____

B. Is there anything associated with this proposal that has an obviously military nature?

Yes* No

C. Guidelines reference U.S. export regulations or security restrictions (beyond a mere adherence to the law)?

Yes* No

D. Guidelines reference the sponsor’s right to approve/disapprove publication (sponsor review only is okay; approval is not)?

Yes* No

E. Foreign Persons

1. Guidelines state sponsor prohibits the involvement of non-U.S. persons or persons from certain countries.

Yes* No

2. Guidelines state sponsor approval is required for foreign persons.

Yes* No

3. Guidelines require additional information on foreign persons.

Yes* No

** If Yes to any of above for questions C. – E., the fundamental research exclusion may be lost. Please give to ECM for export review. Please write comments below and/or attach any emails.*

F. Does the project involve a foreign sponsor, foreign collaborators, and/or travel outside of the U.S.?

Yes No

1. If *Yes* is selected, is the sponsor from, collaborator(s) from, or travel to, Cuba, Iran, Syria, North Korea, or Sudan?

Yes* No

Place TBD

** If Yes is selected for F.1., ECM must review. If No or TBD is selected for F.1., the PI will receive an export control memo at award and no further review is required.*

G. Does the P.I. plan to ship or take project equipment/technology outside the U.S.?

Yes* No

** If yes, please give to ECM for export review – a license may be required.*

Unknown

Please note comments below and/or attach any email correspondence to this form:

Use this form for: (1) New proposals/Competitive Renewals, (2) Supplements with a change in SOW, & (3) Supplements/Non-competing Continuations *without* existing Checklist in file.

Form not needed for: (1) Non-competing Continuations & Supplements (with no change in SOW) *with* existing Checklists in the award file.

Please check with Export Controls Manager if you have questions.

PI: _____ Date: _____